

7/12

PCT REQUEST

Original (for SUBMISSION)

VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America)</p> <p>Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications", by application number, country or Member of the World Trade Organization, day, month, and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1-1	Prior applications:	

PCT REQUEST

Original (for SUBMISSION)

		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1-1-1	Name (LAST, First)	COGHLAN, Michael, Joseph
VIII-4-1-1-2	Residence: (city and either US State, if applicable, or country)	Fishers, Indiana
VIII-4-1-1-3	Mailing address:	7467 River Highlands Drive Fishers, IN 46038 United States of America
VIII-4-1-1-4	Citizenship:	US
VIII-4-1-1-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1-1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	

PCT REQUEST

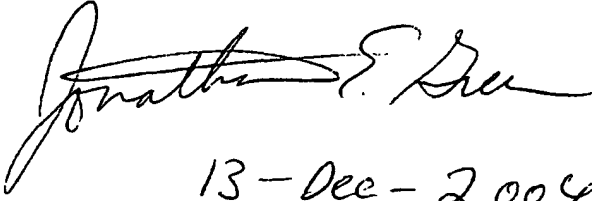
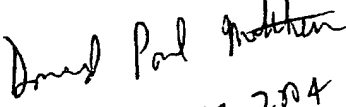
Original (for SUBMISSION)

VIII-4-1-2-1	Name (LAST, First)	JADHAV, Prabhakar, Kondaji
VIII-4-1-2-2	Residence: (city and either US State, if applicable, or country)	Zionsville, Indiana
VIII-4-1-2-3	Mailing address:	7422 Fox Hollow Ridge Zionsville, IN 46077 United States of America
VIII-4-1-2-4	Citizenship:	US
VIII-4-1-2-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	<i>Prabhakar Kondaji Jadhav</i> <i>December 14, 2004</i>
VIII-4-1-2-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	
VIII-4-1-3-1	Name (LAST, First)	DROSTE, James, Joseph
VIII-4-1-3-2	Residence: (city and either US State, if applicable, or country)	Indianapolis, Indiana
VIII-4-1-3-3	Mailing address:	7430 Wood Stream Drive Indianapolis, IN 46254 United States of America
VIII-4-1-3-4	Citizenship:	US
VIII-4-1-3-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	<i>J. J. Droste</i>
VIII-4-1-3-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	<i>13 DEC 2004</i>

10/12

PCT REQUEST

Original (for SUBMISSION)

VIII-4-1-4-1	Name (LAST, First)	GREEN, Jonathan, Edward
VIII-4-1-4-2	Residence: (city and either US State, if applicable, or country)	Avon, Indiana
VIII-4-1-4-3	Mailing address:	7464 Glendale Drive Avon, IN 46123 United States of America
VIII-4-1-4-4	Citizenship:	US
VIII-4-1-4-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1-4-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	13-Dec-2004
VIII-4-1-5-1	Name (LAST, First)	MATTHEWS, Donald, Paul
VIII-4-1-5-2	Residence: (city and either US State, if applicable, or country)	Indianapolis, Indiana
VIII-4-1-5-3	Mailing address:	7736 Wawasee Drive Indianapolis, IN 46250 United States of America
VIII-4-1-5-4	Citizenship:	US
VIII-4-1-5-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1-5-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	12-13-2004



DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐
☒

Declaration Submitted with Initial Filing

Declaration Submitted after Initial Filing

Attorney Docket Number

X-16398

First Named Inventor

Michael Joseph Coghlan

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRICYCLIC STEROID HORMONE NUCLEAR RECEPTOR MODULATORS

the specification of which

☐ is attached hereto

OR

☒ was filed on
(MM/DD/YYYY)

12/15/2004

as United States Application Number or PCT International

Application
Number

PCT/US04/39767

and was amended on
(MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional applications(s) listed below.

Application Number(s)

Filing Date (MM/DD/YYYY)

60/530,992

12/19/2003

☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

BEST AVAILABLE COPY

**DECLARATION**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Attorney Name	Reg. No.
Arvie J. Anderson	45,263
Lynn D. Apelgren	45,341
Robert A. Armitage	27,417
Brian P. Barrett	39,597
Michael T. Bates	34,121
Roger S. Benjamin	27,025
Gary M. Birch	48,881
William R. Boudreaux	35,796
Steven P. Caltrider	36,467
Paul R. Cantrell	36,470
John Cleveland	50,697
Charles E. Cohen	34,585
Donald L. Comeglio	30,741
Gregory A. Cox	47,504
Paula K. Davis	47,517
John C. Demeter	30,167
Manisha A. Desai	43,585
Paul J. Gaylo	36,808
Caren D. Geppert	54,117
Francis O. Ginah	44,712
Amy E. Hamilton	33,894
Danica Hostettler	51,820
Thomas E. Jackson	33,064
Soonhee Jang	44,802
Gerald P. Keleher	43,707
James J. Kelley	41,888

Attorney Name	Reg. No.
Paul J. Koivuniemi	31,533
Thomas LaGrandeur	51,028
Robert E. Lee	27,919
James P. Leeds	35,241
Nelsen L. Lentz	38,537
Elizabeth A. McGraw	44,646
Douglas K. Norman	33,267
Arleen Palmberg	40,422
Thomas G. Plant	35,784
Edward Prein	37,212
Grant E. Reed	41,264
David M. Stemerick	40,187
Mark J. Stewart	43,936
Robert D. Titus	40,208
Robert C. Tucker	45,165
Tina M. Tucker	47,145
MaCharn Vorndran-Jones	36,711
Gilbert T. Voy	43,972
Thomas D. Webster	39,872
Lawrence T. Welch	29,487
Alexander Wilson	45,782
Mark A. Winter	53,782
MaryAnn Wiskerchen	45,511
Dan L. Wood	48,613

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

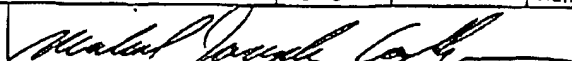
Direct all correspondence to:

Name **ELI LILLY AND COMPANY**
 Address **ATTN: Alexander Wilson**
 Address **Patent Division, P.O. Box 6288**
 City **INDIANAPOLIS** State **INDIANA** ZIP **46206-6288**
 Country **US** Telephone **(317) 277-0190** Fax **(317) 276-3861**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A Petition has been filed for this unsigned inventor

Given Name **Michael** Middle Name **Joseph** Family Name **Coghlan** Suffix **e.g. Jr.**

Inventor's Signature  Date **FEB 4, 2005**

Residence: City **Fishers** State **IN** Country **US** Citizenship

Address **7467 River Highlands Drive**

Post Office Address **SAME AS ABOVE**

City **Fishers** State **IN** Zip **46038** Country **US**

☒ Additional Inventors are being named on supplement sheet(s) attached hereto.

Please type a plus sign (+) inside this box



PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Prabhakar	Middle Name	Kondaji	Family Name	Jadhav	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Zionsville	State	IN	Country	US	Citizenship	US
Address	7422 Fox Hollow Ridge						
Post Office Address	SAME AS ABOVE						
City	Zionsville	State	IN	Zip	46077	Country	US

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	James	Middle Name	Joseph	Family Name	Droste	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Avon	State	IN	Country	US	Citizenship	US
Address	7430 Wood Stream Drive						
Post Office Address	SAME AS ABOVE						
City	Avon	State	IN	Zip	46123	Country	US

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Donald	Middle Name	Paul	Family Name	Matthews	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Indianapolis	State	IN	Country	US	Citizenship	US
Address	7736 Wawasee Drive						
Post Office Address	SAME AS ABOVE						
City	Indianapolis	State	IN	Zip	46250	Country	US

BEST AVAILABLE COPY